## C<sup>m</sup>-theory of damped wave equations with stabilization

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# **1. Introduction**

## 1.1. Problem

Cauchy problem for dissipative wave equation

$$\begin{cases} (\partial_t^2 - \Delta + 2b(t)\partial_t) \ u = 0, \ (t, x) \in [0, \infty) \times \mathbb{R}^n, \\ (u(0, x), u_t(0, x)) = (u_0(x), u_1(x)), \ x \in \mathbb{R}^n. \\ E(t; u) = \frac{1}{2} \left( \|\nabla u(t, \cdot)\|^2 + \|\partial_t u(t, \cdot)\|^2 \right) \\ E'(t; u) = -2b(t) \|\partial_t u(t, \cdot)\|^2 \le 0 \quad (b(t) > 0) \end{cases}$$

**Question.** Does E(t; u) decay or not? If E(t; u) decays, what about the decay order?

Example.  $b(t) \in L^1(\mathbb{R}) \Rightarrow E'(t; u) \ge -4b(t)E(t; u)$ 

 $\Rightarrow E(t; u) \ge E(0; u) \exp\left(-4 \int_0^\infty b(s) \, ds\right)$  No decay!

**Known results** (Matsumura, Mochizuki - Nakazawa, Uesaka, etc.) Let  $b_0 > 0$  and  $E_0(t; u) = E(t; u) + ||u(t, \cdot)||^2$ .

$$b_0(1+t)^{-1} \le b(t) \le b_1 \Longrightarrow E(t;u) \lesssim (1+t)^{-1} E_0(0;u).$$
  
$$b(t) = b_0(1+t)^{-1} \Longrightarrow E(t;u) \lesssim (1+t)^{-\min\{2,2b_0\}} E_0(0;u).$$

$$b(t) = b_0(1+t)^{-1}, \ 0 < b_0 < \frac{1}{2}, \ E_0(0;u) < \infty,$$
  
$$\implies \exists C > 0, \ C^{-1}(1+t)^{-2b_0} \le E(t;u) \le C(1+t)^{-2b_0}.$$
  
(Wirth (2006))

**Problem.** Consider the perturbed dissipation:

$$b(t) = b_0 (1+t)^{-1} + \sigma(t)$$

Which order of perturbation is allowed for the same energy estimate without the perturbation?

## **1.2. Generalized energy conservation**

Cauchy problem for the wave equation

$$\begin{cases} (\partial_t^2 - a^2 \Delta) \ u = 0, \quad (t, x) \in [0, \infty) \times \mathbb{R}^n, \\ (u(0, x), u_t(0, x)) = (u_0(x), u_1(x)), \quad x \in \mathbb{R}^n. \\ E(t; a, u) = \frac{1}{2} \left( a^2 \| \nabla u(t, \cdot) \|^2 + \| \partial_t u(t, \cdot) \|^2 \right) \\ E'(t; a, u) = 0 \implies E(t; a, u) = E(0; a, u) \\ \cdots \text{ Energy Conservation (EC)} \end{cases}$$

Let us generalize the propagation speed and total energy as follows:

$$a = a(t), \ a(t) \in C^{1}([0,\infty)), \ 0 < a_{0} \le a(t) \le a_{1}$$
  
 $E(t;a,u) = E(t;a(t),u)$ 

 $C^{-1}E(0; a(0), u) \le E(t; a(t), u) \le CE(0; a(0), u)$ 

··· Generalized Energy Conservation (GEC)

**Proposition.**  $a'(t) \in L^1(\mathbb{R}_+) \Longrightarrow (GEC).$ 

proof.

$$E'(0; a(t), u) = a'(t)a(t) ||\nabla u(t, \cdot)||^{2} = \frac{2a'(t)}{a(t)} \cdot \frac{1}{2}a(t)^{2} ||\nabla u(t, \cdot)||^{2}$$

$$\leq \frac{2|a'(t)|}{a(t)} \cdot \frac{1}{2}a(t)^{2} ||\nabla u(t, \cdot)||^{2}$$

$$\leq \frac{2|a'(t)|}{a(t)} E(t; a(t), u)$$

$$\implies E(t; a(t), u) \leq E(0; a(0), u) \exp\left(\int_{0}^{t} \frac{2|a'(s)|}{a(s)} ds\right)$$

$$E(t; a(t), u) \geq E(0; a(0), u) \exp\left(-\int_{0}^{t} \frac{2|a'(s)|}{a(s)} ds\right)$$

*Remark.* (i) The following estimates have used:

 $-|a'(t)| \le a'(t) \le |a'(t)| \quad \text{and} \quad \frac{1}{2}a(t)^2 ||\nabla u(t,\cdot)||^2 \le E(t;a(t),u)$  If we identify

|a'(t)| with a'(t) and  $\frac{1}{2}a(t)^2 ||\nabla u(t, \cdot)||^2$  with  $\frac{1}{2}E(t; a(t), u)$ , then we have

$$E(t; a(t), u) = E(0; a(0), u) \exp\left(\int_0^t \frac{a'(s)}{a(s)} \, ds\right)$$
$$= \frac{a(t)}{a(0)} E(0; a(0), u)$$

(ii)  $a'(t) \in L^1(\mathbb{R}_+) \Longrightarrow |a(t) - a(s)| \to 0 \ (t, s \to \infty)$ 

**Proposition.**  $a'(t) \in L^1(\mathbb{R}_+)$  $\implies |E(t; a(t), u) - E(s; a(s), u)| \to 0 \ (t, s \to \infty)$ 

$$|E(t;a(t),u) - E(s;a(s),u)| \to 0 \ (t,s \to \infty)$$

· · · Asymptotically Free Energy (AFE)

$$(EC) \implies (AFE) \implies (GEC)$$

Theorem A. (Reissig – Smith (2005))  $a(t) \in C^2([0,\infty)),$  $|a'(t)| \leq t^{-1}, |a''(t)| \leq t^{-2} \implies (\text{GEC})$ 

Theorem B. (H. (2007)) 
$$a(t) \in C^m([0,\infty)) \ (m \ge 2),$$
  

$$\int_0^t |a(s) - a_\infty| \ ds \lesssim t^\alpha, \ |a^{(k)}(t)| \lesssim t^{-k\alpha_m} \ (k = 1, \cdots, m),$$

$$\alpha_m = \alpha - \frac{\alpha - 1}{m} \implies (\text{GEC})$$

$$E(t; a(t), u) \simeq \frac{a(t)}{a(0)} E(0; a(0), u)$$

Liouville transformation:

$$\begin{split} \tau &= \int_0^t a(s)ds, \ a(t(\tau)) = \tilde{a}(\tau), \ u(t(\tau), x) = w(\tau, x) \\ &\qquad (\partial_t^2 - a(t)^2 \Delta) \ u(t, x) = 0 \\ \hline &\qquad (\partial_\tau^2 - \Delta + 2b(\tau)\partial_t) \ w(\tau, x) = 0, \ b(\tau) = \frac{\tilde{a}'(\tau)}{2\tilde{a}(\tau)} \\ &\qquad E(t; a(t), u) = \frac{1}{2} \ \tilde{a}(\tau)^2 \left( \ ||\nabla w(\tau, \cdot)||^2 + ||\partial_\tau w(\tau, \cdot)||^2 \right) \\ &\qquad = \tilde{a}(\tau)^2 E(\tau; 1, w) \simeq E(\tau; w) \\ a(t) \in C^m \ \Leftrightarrow \ b(\tau) \in C^{m-1}, \ a(t) \le a_1 \ \Leftrightarrow \ \sup_t \left| \int_0^t b(s) ds \right| < \infty \\ &\qquad \int_0^t |a(s) - a_\infty| \ ds \simeq \int_0^t \left| \int_\tau^\infty b(s) ds \right| d\tau \\ &\qquad |a^{(k)}(t)| \simeq |b^{(k-1)}(t)| \end{split}$$

$$\begin{cases} (\partial_t^2 - \Delta + 2b(t)\partial_t) u = 0, & (t,x) \in [0,\infty) \times \mathbb{R}^n, \\ (u(0,x), u_t(0,x)) = (u_0(x), u_1(x)), & x \in \mathbb{R}^n. \end{cases}$$

**Corollary.** 
$$b(t) \in C^m([0,\infty)) \ (m \ge 1),$$
  

$$\sup_t \left| \int_0^t b(s) ds \right| < \infty, \ \int_0^t \left| \int_{\tau}^{\infty} b(s) ds \right| d\tau \lesssim t^{\beta} \ (\beta < 1)$$

$$|b^{(k)}(t)| \lesssim t^{-(k+1)\beta_m} \ (k = 0, \cdots, m), \ \beta_m = \beta + \frac{1-\beta}{m+1}$$

$$\Longrightarrow (\text{GEC}); \ E(t;u) \simeq \exp\left(-2\int_0^t b(s) ds\right) E(0;u)$$

### Remark.

- (i) b(t) should be changing its sign;
- (ii) (AFE) is not necessary to be satisfied;
- (iii) b(t) can be a non- $L^1$ .

### **1.3. Energy decay for dissipative wave equations**

 $0 < a_0 \le a(t) \le a_1 \implies \left| \int_0^\infty b(s) ds \right| < \infty,$  $E(t; u) \simeq \exp\left(-2 \int_0^t b(s) ds\right) E(0; u) \simeq E(0; w)$ 

Energy decay problems cannot be handled!

If the following estimates are valid:

$$E'(t;u) = -2b(t) \|\partial_t u(t,\cdot)\|^2 \le -\delta b(t) E(t;u) \quad (0 < \exists \delta \le 2)$$

then we have

$$E(t) \le \exp\left(-\delta \int_0^t b(s)ds\right) E(0)$$

$$\int_0^t b(s) ds \to \infty \ (t \to \infty) \Longrightarrow \ \textit{Energy decay}$$

## **Positive monotone dissipation**

$$b(t) = b_0(1+t)^{-1}, \ b_0 > 0$$

Theorem C. (Wirth (2006))  $b_0 < 1/2, (u_0, u_1) \in H^1 \times L^2$  $\implies \begin{cases} E(t; u) \leq (1+t)^{-2b_0} E_0(0; u) \\ C^{-1} \leq (1+t)^{2b_0} E(t; u) \leq C \end{cases}$   $E_0(t; u) = E(t; u) + ||u(t, \cdot)||^2, \quad C = C(E_0(0; u))$ 

**Remark.** (i) b(t) can be generalized to  $C^1$  functions with some conditions for the monotonicity.

(ii) the decay order is given by  $\exp(-2b_0 \int_0^t b(s) ds)$ .

# 2. Main Results

## 2.1. Motivation

1) If decay order of the energy is given essentially by the *Riemann integral* of dissipation, then the effect of Riemann integrable perturbation of the dissipation should be neglected.

$$b(t) = b_0 (1+t)^{-1} + \sigma(t), \ \sup_t \left| \int_0^t \sigma(s) ds \right| < \infty$$
  
$$\implies E(t; u) \lesssim (1+t)^{-2b_0} E_0(0; u)$$

2) If one prove (GEC) for

$$(\partial_t^2 - a(t)^2 \Delta) u(t, x) = 0$$
 with  $\lim_{t \to \infty} a(t) = \infty$ ,

then the energy estimate can be reduced into a decay estimate of dissipative wave equation after Liouville transformation.

# 2.2. Main Theorem $b(t) = b_0(1+t)^{-1} + \sigma(t), \quad \sup_t \left| \int_0^t \sigma(s) ds \right| < \infty$ $\sigma(t) \in C^m([0,\infty)) \quad (m \ge 1),$ $\int_0^t \left| \int_0^\tau \sigma(s) ds - \omega_\infty \right| d\tau \lesssim t^\beta \quad (\beta < 1)$

$$|\sigma^{(k)}(t)| \lesssim t^{-(k+1)\beta_m} \ (k=0,\cdots,m), \ \beta_m = \beta + \frac{1-\beta}{m+1}$$

**Main Theorem (H. - Wirth)**  $b_0 < 1/2, (u_0, u_1) \in H^1 \times L^2$  $\implies \begin{cases} E(t; u) \leq (1+t)^{-2b_0} E_0(0; u) \\ C^{-1} \leq (1+t)^{2b_0} E(t; u) \leq C \end{cases}$ 

 $\cap$ 

### Remark.

$$\sup_{t} \left| \int_{0}^{t} \sigma(s) ds \right| < \infty \qquad \text{(Generalized zero mean condition)}$$

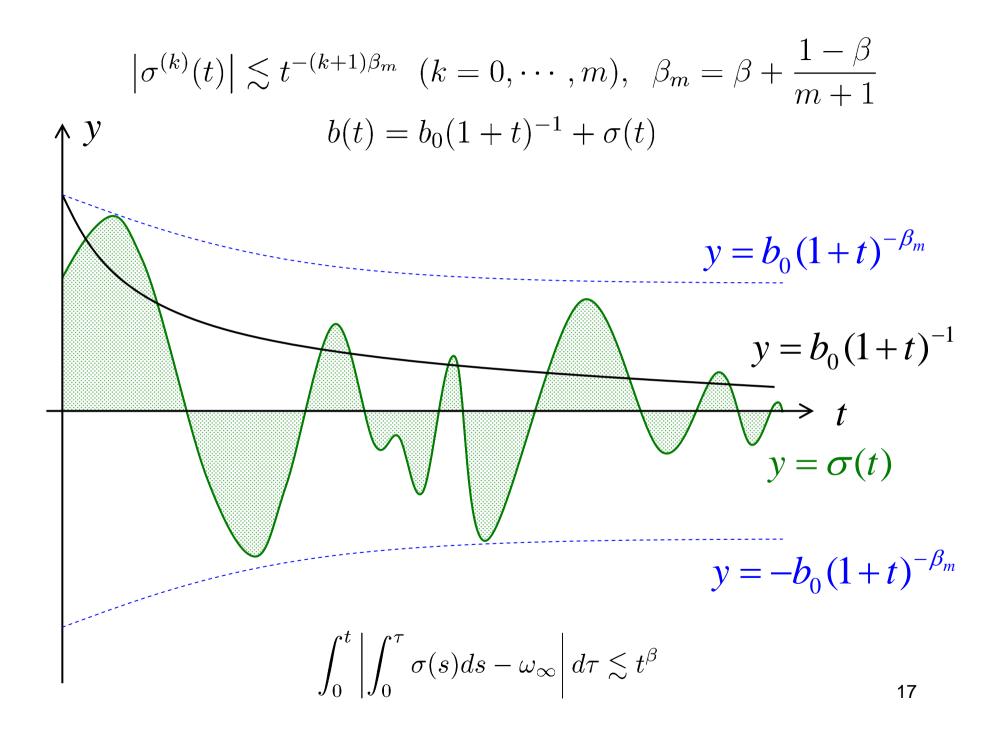
$$\int_0^t \left| \int_0^\tau \sigma(s) ds - \omega_\infty \right| d\tau \lesssim t^\beta \quad (\beta < 1) \qquad \text{(Stabilization property)}$$

$$\left|\sigma^{(k)}(t)\right| \lesssim t^{-(k+1)\beta_m} \quad (k = 0, \cdots, m) \qquad (C^m \text{ property})$$

If  $\beta = 1$ , then the stabilization property is trivial, and  $\beta_m$  is independent of m.

 $\beta_m$  is monotone decreasing with respect to m, and

$$\lim_{m \to \infty} \beta_m = \lim_{m \to \infty} \beta + \frac{1 - \beta}{m + 1} = \beta$$



$$\begin{aligned} \mathbf{Corollary.} \ \sigma(t) \in C^{\infty}([0,\infty)), \\ \int_{0}^{t} \left| \int_{0}^{\tau} \sigma(s) ds - \omega_{\infty} \right| d\tau \lesssim t^{\beta} \ (\beta < 1) \\ \exists \beta_{\infty} > \beta, \ \left| \sigma^{(k)}(t) \right| \le C_{k} t^{-(k+1)\beta_{\infty}} \ (k = 0, 1, \cdots), \\ b_{0} < 1/2, \ (u_{0}, u_{1}) \in H^{1} \times L^{2} \\ \Longrightarrow \begin{cases} E(t; u) \lesssim (1+t)^{-2b_{0}} E_{0}(0; u) \\ C^{-1} \le (1+t)^{2b_{0}} E(t; u) \le C \end{cases} \end{aligned}$$

### **Stabilization property**

$$\left(\partial_t^2 - a(t)^2 \Delta\right) u = 0: \quad a_0 \le a(t) \le a_1 \implies \int_0^t |a(s) - a_\infty| ds$$

(Stabilization property for **bounded** propagation speed)

$$(\partial_t^2 - (\lambda(t)a(t))^2 \Delta) u = 0, \quad \lim_{t \to \infty} \lambda(t) = \infty :$$
$$\implies \int_0^t \lambda(s) |a(s) - a_\infty| ds,$$

(Stabilization property for **unbounded** propagation speed)

### By Liouville transformation:

$$\tau(t) = \int_0^t \lambda(s)a(s)ds, \quad \tilde{\lambda}(\tau(t)) = \lambda(t), \quad \tilde{a}(\tau(t)) = a(t)$$

we have

$$(\partial_t^2 - (\lambda(t)a(t))^2 \Delta) u = 0 \iff (\partial_\tau^2 - \Delta + 2b(\tau)\partial_\tau) w = 0$$
$$b(\tau) = b_0(1+\tau)^{-1} + \sigma(\tau) = \frac{(\tilde{\lambda}(\tau)\tilde{a}(\tau))'}{2\tilde{\lambda}(\tau)\tilde{a}(\tau)}$$
$$\tilde{\lambda}(\tau) = (1+\tau)^{2b_0} \implies \sigma(\tau) = \frac{\tilde{a}'(\tau)}{2\tilde{a}(\tau)}$$

$$\int_0^t \lambda(s) |a(s) - a_\infty| ds = \int_0^\tau \left| a(0) \exp\left(2\int_0^s \sigma(\mu) d\mu\right) - a_\infty\right| ds$$

$$\simeq \int_0^\tau \left| \int_0^s \sigma(\mu) d\mu - \omega_\infty \right| d\tau$$

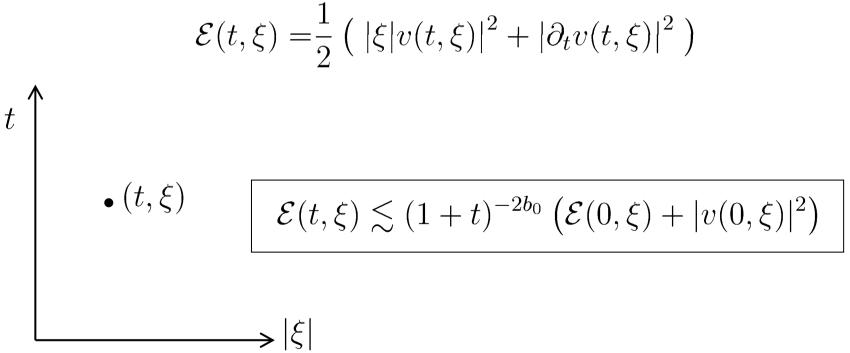
# **3. Sketch of the proof**

## **3.1.** Zones in the phase space

Reduce our problem as follows by Fourier transformation:

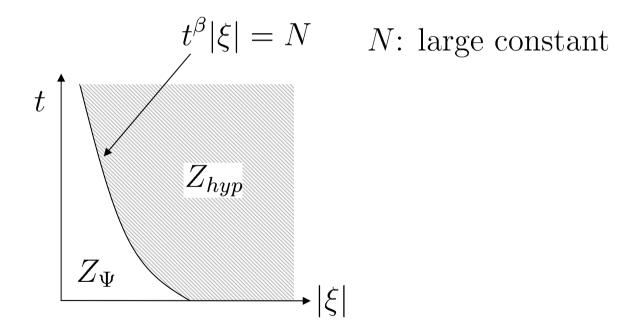
$$\begin{cases} (\partial_t^2 + |\xi|^2 + 2b(t)\partial_t) v = 0, \ (t,\xi) \in [0,\infty) \times \mathbb{R}^n, \\ (v(0,\xi), v_t(0,\xi)) = (v_0(\xi), v_1(\xi)), \ \xi \in \mathbb{R}^n. \end{cases}$$

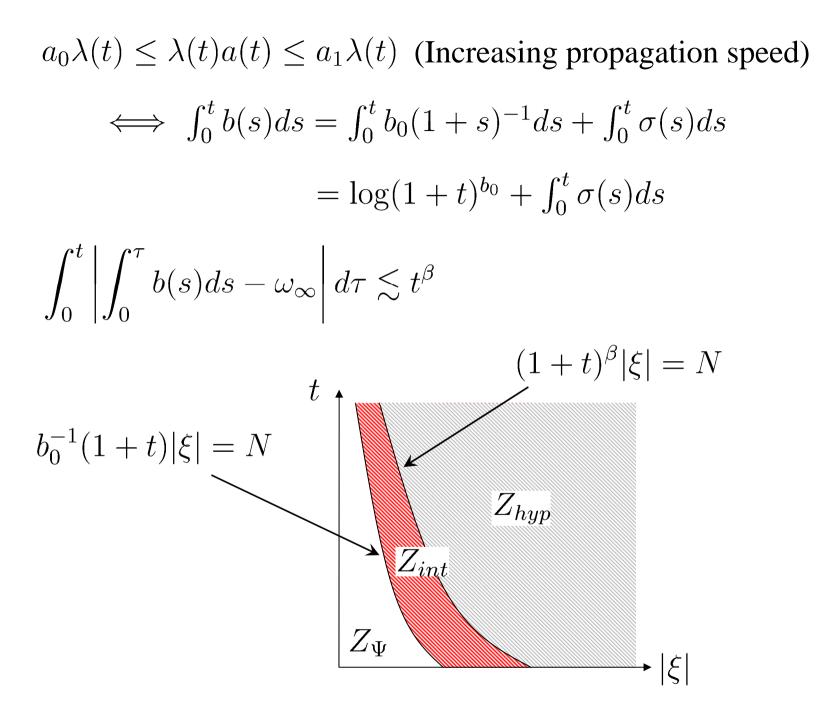
Consider the microenergy of the solution:



 $a_0 \leq a(t) \leq a_1$  (Bounded propagation speed, (GEC))

$$\iff \sup_{t} \left| \int_{0}^{t} b(s) ds \right| < \infty \quad \text{(non-decay)}$$
$$\int_{0}^{t} \left| \int_{0}^{\tau} b(s) ds - \omega_{\infty} \right| d\tau \lesssim t^{\beta}$$

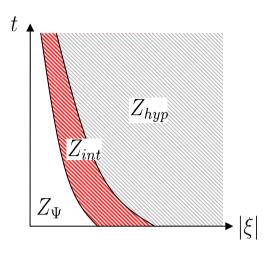




We construct suitable approximate WKB solutions in respective zones.

$$\left(\partial_t^2 + |\xi|^2 + 2b(t)\partial_t\right)v = 0$$

$$\bigcup$$



 $\partial_t V_1(t,\xi) = A_1(t,\xi)V_1(t,\xi)$  (first order system)

$$V_1 = \begin{pmatrix} v_t + i|\xi|v \\ v_t - i|\xi|v \end{pmatrix} \quad A_1 = \begin{pmatrix} -b(t) + i|\xi| & -b(t) \\ -b(t) & -b(t) - i|\xi| \end{pmatrix}$$

$$|V_1(t,\xi)|^2 \simeq \mathcal{E}(t,\xi;v)$$

$$W_1 = \begin{pmatrix} e^{\int_0^t b(s)ds} & 0\\ 0 & e^{\int_0^t b(s)ds} \end{pmatrix} V_1 = (1+t)^{b_0} e^{\int_0^t \sigma(s)ds} V_1$$

 $|W_1(t,\xi)|^2 \simeq (1+t)^{2b_0} |V_1(t,\xi)|$ 

## $|W_1(t,\xi)|^2 \simeq |W_1(0,\xi)|^2 \iff (1+t)^{2b_0} |V_1(t,\xi)|^2 \simeq |V_1(0,\xi)|^2$ ... decay estimate

 $\Lambda_1 = \begin{pmatrix} i|\xi| & 0\\ 0 & -i|\xi| \end{pmatrix}, \quad B_1 = \begin{pmatrix} 0 & -b(t)\\ -b(t) & 0 \end{pmatrix},$ 

$$|W_1(t_1,\xi)| \lesssim |W_1(t_0,\xi)| \exp\left(\int_{t_0}^{t_1} |b(s)| ds\right)$$

 $\lambda_{1\pm} = \pm i|\xi|, \quad \beta_{1\pm} = -b(t)$ 

 $\partial_t W_1 = (\Lambda_1 + B_1) W_1$ 

### Diagonalization in the hyperbolic zone $Z_H$

$$M_{1} = \begin{pmatrix} 1 & \frac{\beta_{1+}}{\lambda_{1+}-\lambda_{1-}} \\ \frac{\beta_{1+}}{\lambda_{1+}-\lambda_{1-}} & 1 \end{pmatrix}, \quad W_{2} = M_{1}^{-1}W_{1} \quad \text{in } Z_{H}$$

 $\partial_t W_1 = (\Lambda_1 + B_1) W_1 \iff \partial_t W_2 = (\Lambda_2 + B_2) W_2$ 

$$\lambda_{2\pm} = \pm i \left( |\xi| + \frac{3b^2}{4|\xi|} \right) - \frac{b'(t)b(t)}{4|\xi|^2}, \quad \beta_{2\pm} = -\frac{b(t)^3}{4|\xi|^2} \mp i \frac{b'(t)}{2|\xi|}$$
$$\Re \left\{ \lambda_{2\pm} - \lambda_{2\pm} \right\} = 0, \quad \beta_{2\pm} = \overline{\beta_{2\pm}}, \quad \left| \int \Re \left\{ \lambda_{2\pm} \right\} dt \right| \text{ is bounded in } Z_H$$

Generally, we have  $\partial_t W_k = (\Lambda_k + B_k) W_k, \quad W_k = M_{k-1}^{-1} \cdots M_1^{-1} W_1, \quad |W_k| \simeq |W_1| \text{ in } Z_H$  Moreover, if we introduce the symbol class:

$$S\{m_1, m_2\} = \left\{ \left| \partial_t^k \partial_{\xi}^{\alpha} a(t, \xi) \right| \le C_{k, \alpha} |\xi|^{m_1 - |\alpha|} (1+t)^{-(k+m_2)\beta_m} \right\}$$

in the hyperbolic zone, then we have

$$B_k \in S\{-k, k+1\}.$$

Here we note that

$$S\{m_1 - k, m_2 + k\} \subset S\{m_1, m_2\} \quad (k \ge 0)$$
$$b^{(k)} \in S\{0, k+1\}$$

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