## On the energy estimates for second order homogeneous hyperbolic equations with Levi-type conditions <sup>1</sup>

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## and

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We consider the following Cauchy problem of a second order homogeneous hyperbolic equation with variable coefficients:

$$\begin{cases} \left(\partial_t^2 - a(t)^2 \partial_x^2 + 2b(t) \partial_x \partial_t\right) u = 0, \quad (t, x) \in (0, \infty) \times \mathbb{R}, \\ (u(0, x), \partial_t u(0, x)) = (u_0(x), u_1(x)), \quad x \in \mathbb{R}, \end{cases}$$
(1)

where  $a(t), b(t) \in C^m([0, \infty))$   $(m \ge 2)$  are real valued and satisfy the following strictly hyperbolic condition:

$$0 < c_0 \le c(t) := \sqrt{a(t)^2 + b(t)^2} \le c_1.$$
(2)

Here we introduce the following energy to the solution of (1):

$$E(t) := \frac{1}{4} \int_{\mathbb{R}} \left( |\partial_t u(t,x) + (b(t) + c(t))\partial_x u(t,x)|^2 + |\partial_t u(t,x) + (b(t) - c(t))\partial_x u(t,x)|^2 \right) dx.$$
(3)

If the coefficients are constants, then the energy conservation  $E(t) \equiv E(0)$  is valid. However, we cannot expect such a property for variable coefficients; thus we introduce the following property of an equivalence of the energy with respect to t:

$$C^{-1}E(0) \le E(t) \le CE(0), \tag{GEC}$$

which is called the *generalized energy conservation*, where C > 1 is a constant.

If b(t) = 0, then the equation of (1) is a wave equation with a variable propagation speed, and a'(t) describes the oscillating speed of it. Trivially, we see that (GEC) is valid if  $a' \in L^1(\mathbb{R}_+)$ though  $b(t) \neq 0$ . However, it is not clear whether (GEC) holds or not if  $a' \notin L^1(\mathbb{R}_+)$ . Actually, (GEC) is not true in general; indeed, for b(t) = 0 an example of a(t) is constructed in [6]. The main purpose of our research is to have some conditions to the coefficients which provide (GEC) taking account of the  $C^m$  regularity of the coefficients. In particular, we focus the conditions between a(t) and b(t), which give the same conclusion of [3] considering (1) with b(t) = 0.

Let us introduce the following conditions to the coefficients:

• Stabilization condition: there exist the means  $a_{\infty}$  and  $b_{\infty}$  of a(t) and b(t) on  $\mathbb{R}_+$  such that

$$\int_0^t (|a(s) - a_\infty| + |b(s) - b_\infty|) \, ds \le C_0 (1+t)^\alpha \quad \text{for} \quad \alpha \in [0,1).$$
(4)

• Control of the oscillations:

$$|a^{(k)}(t)| + |b^{(k)}(t)| \le Cake(1+t)^{-k\beta} \text{ for } \beta \in [0,1] \quad (k=1,\cdots,m).$$
(5)

REMARK 1. The stabilization condition (4) is trivial for  $\alpha = 1$  since (2) is valid. The condition of control of the oscillations (5) with  $\beta > 1$  with k = 1 gives  $a'(t) \in L^1(\mathbb{R}_+)$ .

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Let us recall the following result in [3] for b(t) = 0:

**Theorem 1** ([3]). Let b(t) = 0 and  $m \ge 2$ . If a(t) satisfies (2), (4) and (5) for

$$\beta = \beta_m := \alpha + \frac{1 - \alpha}{m},\tag{6}$$

then (GEC) is valid.

REMARK 2. The restriction to the order of a'(t) in (5) is weaker as  $\beta$  larger, which is realized as *m* larger. That is, faster oscillation to the coefficient is possible to be permissible for (GEC) as the coefficient is smoother. Here we underline that we have a benefit by the choice of larger *m* only for  $\alpha < 1$ ; thus the stabilization property (4) is essential.

It may be natural that we expect the same conclusion of Theorem 1 for  $b(t) \neq 0$ . However, we see from the analogy of the result in [4] that such an expectation is not valid to the general model (1) with  $b(t) \neq 0$ , because an interaction between the oscillating coefficients a(t) and b(t)gives a bad effect for (GEC). On the other hand, the result in [5] hints us that the following condition between a(t) and b(t):

$$\sup_{t} \left\{ \left| \int_{0}^{t} \frac{b'(s)}{c(s)} \, ds \right| \right\} \le C,\tag{L1}$$

which is called the  $C^1$ -type Levi condition, is possible to invalidate the bad effect from the interactions of the oscillating coefficients. Indeed, we have the following theorem:

**Theorem 2** ( $C^3$  coefficients [1]). Let m = 2 or 3,  $\alpha \in [0,1)$  and  $\beta = \beta_m$ . Assume that  $a, b \in C^m([0,\infty))$  satisfy (2), (4) and (5). If the  $C^1$ -type Levi condition (L1) holds, then the generalized energy conservation (GEC) is valid.

REMARK 3. (L1) is true if a(t) is represented by  $a(t) = \phi(b(t))$  with a positive  $C^1$  function  $\phi$ . REMARK 4. Actually, under the assumption (L1) one can prove (GEC) for m = 2 and  $\beta(=\beta_b) = 1$  (see [2, 7], which consider more general hyperbolic systems and  $L^p - L^q$  type decay estimates).

We cannot have the same conclusion as Theorem 2 for  $m \ge 4$ . However, we have the following theorem for (GEC) with m = 4, 5 if we additionally suppose the  $C^2$ -type Levi condition:

**Theorem 3** ( $C^5$  coefficients [1]). Let m = 4 or 5,  $\alpha \in [0, 1)$  and  $\beta = \beta_m$ , where  $\beta_m$  is defined by (6). Assume that  $a, b \in C^m([0, \infty))$  satisfy (2), (4) and (5). If the  $C^1$ -type Levi condition (L1) and the  $C^2$ -type Levi condition:

$$\sup_{t} \left\{ (1+t)^{2\alpha} \left| \int_{0}^{t} \frac{c(s) \left( b'(s)c''(s) - b''(s)c'(s) \right) - b'(s) \left( (b'(s))^{2} - (c'(s))^{2} \right)}{c(s)^{5}} \, ds \right| \right\} \le C \qquad (L2)$$

hold, then the generalized energy conservation (GEC) is valid.

It is a natural observation that Theorem 2 and 3 may be generalized for m = 6, 7, m = 8, 9, and so on under some  $C^k$ -type Levi conditions with  $k = 3, 4, \cdots$ . Actually, it is true in a certain sense, but the representations of the corresponding  $C^k$ -type Levi conditions are very complicate.

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