

# On second order weakly hyperbolic equations and the ultradifferentiable classes

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joint work with

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Cauchy problem of second order weakly hyperbolic equation:

$$\begin{cases} (\partial_t^2 - a(t)^2 \Delta) u(t, x) = 0, & (t, x) \in (0, T] \times \mathbb{R}^n, \\ (u(0, x), u_t(0, x)) = (u_0(x), u_1(x)), & x \in \mathbb{R}^n, \end{cases} \quad (P)$$

$a \in C^\infty([0, T])$ ,  $a(t) > 0$ ,  $t \in [0, T)$ ,  $a(T) = 0$ .

- $\lambda \in C^1([0, T])$ ,  $\lambda'(t) \leq 0$ ,  $\lambda(t) > 0$ ,  $t \in [0, T)$ ,  $\lambda(T) = 0$ .
- $a(t) \simeq \lambda(t)$  ( $\Leftrightarrow C_0 \lambda(t) \leq a(t) \leq C_1 \lambda(t)$ ).
- $\Lambda(t) := \int_t^T \lambda(s) ds$ ,  $\Theta(t) := \int_t^T |a(s) - \lambda(s)| ds$ .
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Our goal is to derive a relation between the order of  $\mu$  for the estimate

$$\mathcal{E}(t, \xi) \leq \exp(C\mu(\langle \xi \rangle))\mathcal{E}(0, \xi), \quad (E)$$
$$\mathcal{E}(t, \xi) := \lambda(t)^2 |\xi|^2 |\hat{u}(t, \xi)|^2 + |\hat{u}_t(t, \xi)|^2$$

and the properties of  $a(t)$  represented by  $\lambda(t)$ ,  $\Theta(t)$  and  $\{M_k\}$ .

## Known results (non-degenerate case)

$a(t) \in C^m([0, T]), \lambda(t) = \lambda_0 > 0, \Theta(t) \simeq T - t:$

- $m = 1, \rho(t) = (T - t)^{-1}$

⇒  $\mu(r) = \log r$  [Colombini-DelSanto-Kinoshita '02].

- $m = 2, \rho(t) = (T - t)^{-1}$

⇒  $\mu(r) = 1$  [Colombini-DelSanto-Reissig '03], [H. '03].

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⇒  $\mu(r) = 1$  [Cicognani-H. '08].

If  $m$  and  $\alpha$  larger (the coefficient is smoother and stabilized faster to a constant  $\lambda_0$ ), then larger  $\beta$  (faster oscillation) is admitted for the estimate (E) with  $\mu(r) = 1$ .



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## Theorem (Summary of the previous results)

Let  $a(t) \in C^m([0, T])$ ,  $m \geq 2$ . If there exists  $\lambda(t) \in C^1([0, T])$  satisfying  $\lambda(t) > 0$  and  $\lambda'(t) \leq 0$  such that  $a(t) \simeq \lambda(t)$  and

$$|a^{(k)}(t)| \leq \lambda(t) M_k \left( \lambda(t) \left( \frac{\Lambda(t)}{\Theta(t)} \right)^{-\frac{1}{m}} \left( \frac{1}{\Theta(t)} \right)^\gamma \right)^k$$

for  $k = 1, \dots, m$ , then the following estimate is valid:

$$\mathcal{E}(t, \xi) \leq \exp(C\mu(\langle \xi \rangle)) \mathcal{E}(0, \xi) \quad \text{with} \quad \mu(r) = r^{\frac{\gamma-1}{\gamma}}.$$

## Example

$a(t) = \lambda(t)\omega(t)$ ,  $\lambda(t) = (T - t)^p$ ,  $\Lambda(t) \simeq (T - t)^{p+1}$ ,  $p \geq 0$ ,  
 $\nu > \kappa > 1$ ,  $0 < \varepsilon < 1$ ,  $\varepsilon^{-\nu+\kappa} \in \mathbb{N}$ ,  
 $\psi \in C^m(\mathbb{R})$ : 1-periodic,  $\phi(r) \equiv \text{naer } r = 1$ ,  $\tau_j := \varepsilon^j$ ,

$$\omega(t) := \begin{cases} \psi\left(\tau_j^{-\nu}(T - t - \tau_j)\right)^{\frac{1}{2}}, & t \in I_j := [T - \tau_j - \tau_j^\kappa, T - \tau_j + \tau_j^\kappa], \\ 1, & t \in [0, \infty) \setminus \bigcup_{j=1}^{\infty} I_j. \end{cases}$$

$$\int_t^T |a(\tau) - \lambda(\tau)| d\tau = \Theta(t) \simeq (T - t)^{p+\kappa},$$

$$\frac{|a^{(k)}(t)|}{\lambda(t)} \lesssim (T - t)^{-k\nu} \lesssim \left( \lambda(t) \left( \frac{\Lambda(t)}{\Theta(t)} \right)^{-\frac{1}{m}} \left( \frac{1}{\Theta(t)} \right)^\gamma \right)^k$$

for  $k = 1, \dots, m$  and  $\gamma \leq \frac{p+\nu-\frac{1-\kappa}{m}}{p+k}$ , it follows that

## Example

$a(t) = \lambda(t)\omega(t)$ ,  $\lambda(t) = (T - t)^p$ ,  $\Lambda(t) \simeq (T - t)^{p+1}$ ,  $p \geq 0$ ,  
 $\nu > \kappa > 1$ ,  $0 < \varepsilon < 1$ ,  $\varepsilon^{-\nu+\kappa} \in \mathbb{N}$ ,  
 $\psi \in C^m(\mathbb{R})$ : 1-periodic,  $\phi(r) \equiv \text{naer } r = 1$ ,  $\tau_j := \varepsilon^j$ ,

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$$a(t) \simeq \lambda(t), \lambda(t) = (T - t)^p, p > 0, \nu > \kappa > 1,$$

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with  $\gamma = \frac{p+\nu-\frac{1-\kappa}{m}}{p+k}$  for  $k = 1, \dots, m$  where

$$\mathcal{E}(t, \xi) \leq \mathcal{E}(0, \xi) \exp \left( C \langle \xi \rangle^{\frac{1}{s}} \right), \quad s = \frac{\gamma}{\gamma - 1} = 1 + \frac{p + \kappa}{\nu - \kappa + \frac{\kappa - 1}{m}}.$$

#### REMARK

- $s$  larger as  $p, \kappa, m$  and  $1/\nu$  larger.
- The estimate is optimal for  $p = 0$  and  $m \rightarrow \infty$ .

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Setting

$$\mathcal{M}(\tau) = \tau^m \quad \text{and} \quad \eta(r) = r^{\frac{s}{s-1}}$$

we have

$$\frac{|a^{(k)}(t)|}{\lambda(t)} \lesssim \left( \frac{\lambda(t)}{\mathcal{M}^{-1} \left( \frac{\Lambda(t)}{\Theta(t)} \right)} \eta \left( \frac{1}{\Theta(t)} \right) \right)^k \quad (k = 1, \dots, m)$$

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Find a function  $\mathcal{M}$  which concludes the estimate

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$$\frac{|a^{(k)}(t)|}{\lambda(t)} \lesssim M_k \left( \frac{\lambda(t)}{\mathcal{M}^{-1}\left(\frac{\Lambda(t)}{\Theta(t)}\right)} \eta \left( \frac{1}{\Theta(t)} \right) \right)^k \quad (k \in \mathbb{N}).$$

- The order of  $\mathcal{M}$  should be faster than any polynomial order.
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## Theorem ([H.-Ishida '13])

Let  $\{M_k\}$  be a *logarithmic convex sequence*:  $\frac{M_k}{kM_{k-1}} \leq \frac{M_{k+1}}{(k+1)M_k}$ ,  
 and  $\mathcal{M}$  be the *associated function of  $\{M_k\}$* , which defined by

$$\mathcal{M}(r) := \sup_{k \geq 1} \left\{ \frac{r^k}{M_k} \right\} \quad \text{for } r \geq 0.$$

If  $a \in C^\infty$  satisfy  $a(t) \simeq \lambda(t)$  and

$$\left| a^{(k)}(t) \right| \leq \lambda(t) M_k \left( \frac{\lambda(t)}{\mathcal{M}^{-1}\left(\frac{\Lambda(t)}{\Theta(t)}\right)} \eta \left( \frac{1}{\Theta(t)} \right) \right)^k \quad (k \in \mathbb{N}),$$

then we have

$$\mathcal{E}(t, \xi) \leq \mathcal{E}(0, \xi) \exp(C\mu(\langle \xi \rangle)) \quad \text{with } \mu(r) = \frac{r}{\eta^{-1}(r)}.$$

## Examples

$\eta(\tau) = \tau^{\frac{s}{s-1}}$ ,  $\mu(r) = r^{\frac{1}{s}}$ ;  $\mathcal{E}(t, \xi) \leq \mathcal{E}(0, \xi) \exp(C \langle \xi \rangle^{\frac{1}{s}})$ ; (Gevrey well-posedness).

- $M_k = k!^s$  ( $s \geq 1$ ):

$$\exp\left(C_0 r^{\frac{1}{s}}\right) \leq \mathcal{M}(r) \leq \exp\left(C_1 r^{\frac{1}{s}}\right),$$

$$C'_0 (\log \tau)^s \leq \mathcal{M}^{-1}(\tau) \leq C'_1 (\log \tau)^s.$$

- $\prod_{j=1}^k \exp(j^\kappa)$  ( $\kappa > 0$ ):

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$$\rho(t) = \frac{\lambda(t)}{\mathcal{M}^{-1}\left(\frac{\Lambda(t)}{\Theta(t)}\right)} \left(\frac{1}{\Theta(t)}\right)^{\frac{s}{s-1}},$$

$$\left|a^{(k)}(t)\right| \leq \lambda(t) M_k \rho(t)^k \quad (k = 1, \dots, m),$$

$$\Rightarrow \mathcal{E}(t, \xi) \leq \mathcal{E}(0, \xi) \exp\left(C \langle \xi \rangle^{\frac{1}{s}}\right).$$

$M_k$	$\mathcal{M}(r)$	$\mathcal{M}^{-1}(\tau)$
$m < \infty$	$r^m$	$\tau^{\frac{1}{m}}$
$\prod_{j=1}^k \exp(j^\kappa)$	$\exp\left(C (\log r)^{\frac{\kappa+1}{\kappa}}\right)$	$\exp\left(C' (\log \tau)^{\frac{\kappa}{\kappa+1}}\right)$
$k!^s$	$\exp\left(Cr^{\frac{1}{s}}\right)$	$(\log \tau)^s$
$k!$	$\exp(Cr)$	$\log \tau$

# Sketch of the proof

- Partial Fourier transformation;  $\mathbb{R}_{t,x}^{n+1} \rightarrow \mathbb{R}_{t,\xi}^{n+1}$ .
- Division of the phase space into infinitely many zones.
- Infinitely many steps of diagonalization procedure.
- Estimate in the low frequency part by the stabilization property:  $\Theta(t) = o(\Lambda(t))$ .

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# Open problems

- Optimality: for instance, for  $a(t) \in C^m([0, T))$ ,  $\lambda(t) = 1$ ,  $\Theta(t) = (T - t)^\alpha$ ,  $|a^{(k)}(t)| \leq M_k (T - t)^{-\beta k}$  ( $k = 1, \dots, m$ ):
  - $\alpha - \frac{\alpha-1}{m} \leq \beta \Rightarrow \mathcal{E}(t, \xi) \lesssim \mathcal{E}(0, \xi)$ .

- $\beta < \alpha \Rightarrow \mathcal{E}(t, \xi) \lesssim C\mathcal{E}(0, \xi)$  does not hold of any  $C > 0$ .

- $\beta < \alpha - \frac{\alpha-1}{m} \Rightarrow ???$ .

cf. [Colombini-De Giorgi-Spagnolo '79],

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- Some Levi's type condition with lower order terms.

cf. [H.-Reissig '06], [Bui-H. '11].

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 [Colombini-Jannelli-Spagnolo '83], [Colombini-Spagnolo '82],  
 [Colombini-Nishitani '00].

- Some Levi's type condition with lower order terms.  
 cf. [H.-Reissig '06], [Bui-H. '11].
- Application to Kirchhoff type equation.  
 cf. [Manfrin '05], [H. '06].

## Open problems

- Optimality: for instance, for  $a(t) \in C^m([0, T))$ ,  $\lambda(t) = 1$ ,  $\Theta(t) = (T - t)^\alpha$ ,  $|a^{(k)}(t)| \leq M_k (T - t)^{-\beta k}$  ( $k = 1, \dots, m$ ):
  - $\alpha - \frac{\alpha-1}{m} \leq \beta \Rightarrow \mathcal{E}(t, \xi) \lesssim \mathcal{E}(0, \xi)$ .
  - $\beta < \alpha \Rightarrow \mathcal{E}(t, \xi) \lesssim C\mathcal{E}(0, \xi)$  does not hold of any  $C > 0$ .
  - $\beta < \alpha - \frac{\alpha-1}{m} \Rightarrow ???$ .

cf. [Colombini-De Giorgi-Spagnolo '79],  
 [Colombini-Jannelli-Spagnolo '83], [Colombini-Spagnolo '82],  
 [Colombini-Nishitani '00].

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 cf. [H.-Reissig '06], [Bui-H. '11].
- Application to Kirchhoff type equation.  
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Thank you for your attention!